



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

DOCKET NUMBER 2003-09

STATE ETHICS COMMISSION

IN THE MATTER OF A

20 TRINITY STREET

COMPLAINT AGAINST

HARTFORD, CT 06106

SRS TRANSPORTATION COMPANY, LLC

SEPTEMBER 4, 2003

STIPULATION AND ORDER

Pursuant to Connecticut General Statutes §4-177(c), the State Ethics Commission and the Respondents agree to settle this matter in the manner described below:

1. The Commission finds that the Respondent violated the Code of Ethics for Lobbyists, Conn. Gen. Stat. §1-91 et seq., as alleged in the attached Amended Complaint dated September 4, 2003.

2. The Respondent enters into this Stipulation and Order without admitting that it violated the Code of Ethics, but indicates that it is agreeing to settle this matter for the sole purpose of avoiding the time and expense of litigation.

3. The Respondent waives any rights it may have under Conn. Gen. Stat. §§1-93, 1-93a, 1-98 and 1-80, including the right to a hearing or any appeal in this case, and agrees with the Commission to an informal disposition of this matter.

NOW THEREFORE, pursuant to Conn. Gen. Stat. §4-177(c), the State Ethics Commission enters, and the Respondent agrees to, the following orders in lieu of any other action regarding this matter:

1. The Respondent agrees to pay a civil penalty of \$2000.00 within thirty days of this Stipulation and Order, and henceforth to comply with the Codes of Ethics.

2. The Respondent agrees to register with the Ethics Commission for the year 2000 and to file the appropriate financial reports for that time period within thirty days of this Stipulation and Order.

SRS TRANSPORTATION COMPANY, L.L.C.


Respondents

By: Frank M. Ruocco
Member

9/12/03
Date

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20 Trinity Street - Hartford, Connecticut 06106-1660
Website: <http://www.ethics.state.ct.us>
An Equal Opportunity Employer


State Ethics Commission
By.

9/12/03
Date